

COMPLIANCE OFFICER (Johannesburg)

with effect from 1 January 2019

Position	Compliance Officer (Category I, II and IIA)
Region	Johannesburg
Reporting to	Regional Manager, Johannesburg

Position Summary	<ul style="list-style-type: none"> • To effectively deliver a quality compliance and risk management service to our clients at a regional level • Adherence to the compliance requirements as dictated by the FSCA.
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Employee's Compliance Duty	<ul style="list-style-type: none"> • Outsourced compliance function delivered to financial services clients • Must be approved by the FSCA for Category I, II and IIA. • Fulfil compliance function required in terms of FAIS, FICA and other applicable legislation and business requirements • Establish a culture of compliance and provide a compliance risk framework to clients • Assist clients to achieve the TCF outcomes
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Employee's Quality of Work	<ul style="list-style-type: none"> • Perform all functions to the standard and deadlines set by Management • Provide clients with high quality, personalised and substantive reports • Include clients in compliance processes and provide post monitoring feedback • Maintain records as required by the business • Display behaviour and attitudes which positively contributes to the well-being and development of the regional team, the company and its clients • Sourcing and signing up of new clients
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Role Qualifications and Competencies

Qualifications, Work Experience and Skills	<ul style="list-style-type: none"> • A Legal or Accounting degree • A FSCA recognised qualification • Registered Category I, II and IIA Compliance Officer with the FSCA • Minimum 3 years' experience in compliance or risk management within the financial services industry • A good working knowledge of compliance legislation viz FAIS and FICA • Understanding and knowledge of risk management principles. • Exceptional verbal and written communication skills • Work under pressure, independently and as part of a team • Proficient presentation skills.
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Address your CV to	Andria Hibbert, Regional Manager, Johannesburg
In the Subject Line write this	Compliance Officer position in Johannesburg
Email your CV to	ahibbert@masthead.co.za
Cut-off date for this Vacancy is	31 January 2019

Should you do not hear from us within 14 days from the cut-off date, kindly consider your application as being unsuccessful.