

COMPLIANCE OFFICER (Gauteng)

with effect from 1 March 2021

Position	Compliance Consultant under supervision or Compliance Officer (Category I)
Region	Gauteng: Johannesburg and Pretoria
Reporting to	Regional Manager, Johannesburg and Pretoria

Position Summary	To effectively deliver a quality compliance and risk management service to our clients at a regional level. Adherence to the compliance requirements as dictated by the FSCA.
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Employee's Compliance Duty	<ul style="list-style-type: none"> • Outsourced compliance function delivered to financial services clients • Majority of services will be focused on Corporate clients. • Must be approved by the FSCA for Category I • Fulfil compliance function required in terms of FAIS, FICA and other applicable legislation and business requirements • Establish a culture of compliance and provide a compliance risk framework to clients • Assist clients to achieve the TCF outcomes
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Employee's Quality of Work	<ul style="list-style-type: none"> • Perform all functions to the standard and deadlines set by Regional Manager • Provide clients with high quality, personalised and substantive reports • Include clients in compliance processes and provide post monitoring feedback • Maintain records as required by the business • Display behaviour and attitudes which positively contributes to the well-being and development of the regional team, the company and its clients • Sourcing and signing up of new clients
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Role Qualifications and Competencies

Qualifications, Work Experience and Skills	<ul style="list-style-type: none"> • A Legal or Accounting degree • A FSCA (FSB) recognised qualification • Category I FSP experience • Minimum 3 years' experience in compliance or risk management within the financial services industry • A good working knowledge of compliance legislation viz FICA and FAIS • Understanding and knowledge of risk management principles. • Exceptional verbal and written communication skills • Work under pressure, independently and as part of a team • Proficient presentation skills.
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Address your CV to	Heidi de Lange, Regional Manager
In the Subject Line write this	Compliance Officer or Compliance Consultant post in Gauteng
Email your CV to	vacancy@masthead.co.za
Cut-off date for this Vacancy is	5 February 2021

Should you do not hear from us within 14 days from the cut-off date, kindly consider your application as being unsuccessful.