

COMPLIANCE OFFICER (Eastern Cape)

with effect from 1 April 2021

Position	Compliance Officer (Category I)
Region	Eastern Cape - East London
Reporting to	Area Manager, Port Elizabeth

General Information	<ul style="list-style-type: none"> In line with our Company's expansion strategy, we want to appoint an additional Compliance Officer in East London to increase our opportunities of growing our client base and expanding our market in the East London/Queenstown Area
Position Summary	<ul style="list-style-type: none"> To effectively deliver a quality compliance and risk management service to our clients at a regional level. Adherence to the compliance requirements as dictated by the FSCA.

Employee's Compliance Duty	<ul style="list-style-type: none"> Outsourced compliance function delivered to financial services clients Must be approved by the FSCA for Category I Fulfil compliance function required in terms of FAIS, FICA and other applicable legislation and business requirements Establish a culture of compliance and provide a compliance risk framework to clients Assist clients to achieve the TCF outcomes Services to be delivered to Independent FSP's and Corporate clients
Employee's Quality of Work	<ul style="list-style-type: none"> Perform all functions to the standard and deadlines set by Regional Manager Provide clients with high quality, personalised and substantive reports Include clients in compliance processes and provide post monitoring feedback Maintain records as required by the business Display behaviour and attitudes which positively contributes to the well-being and development of the regional team, the company and its clients Sourcing and signing up of new clients

Role Qualifications and Competencies

Qualifications, Work Experience and Skills	<ul style="list-style-type: none"> A Legal or Accounting degree A FSCA (FSB) recognised qualification Category I FSP experience Minimum 3 years' experience in compliance or risk management within the financial services industry A good working knowledge of compliance legislation viz FICA and FAIS Understanding and knowledge of risk management principles. Exceptional verbal and written communication skills Work under pressure, independently and as part of a team Proficient presentation skills.
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Address your CV to	Ferdi Coetzee, Area Manager
In the Subject Line write this	Compliance Officer or Compliance Consultant post in East London
Email your CV to	fcoetzee@masthead.co.za
Cut-off date for this Vacancy is	28 February 2021

Should you do not hear from us within 14 days from the cut-off date, kindly consider your application as being unsuccessful.