

COMPLIANCE OFFICER (Free State)

with effect from 1 July 2021

Position	Compliance Officer (Category I)
Region	Free State: Bloemfontein
Reporting to	Area Manager, Bloemfontein

Position Summary	<ul style="list-style-type: none"> To effectively deliver a quality compliance and risk management service to our clients at a regional level. Adherence to the compliance requirements as dictated by the FSCA.
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Employee's Compliance Duty	<ul style="list-style-type: none"> Outsourced compliance function delivered to financial services clients Must be approved by the FSCA for Category I Fulfil compliance function required in terms of FAIS, FICA and other applicable legislation and business requirements Establish a culture of compliance and provide a compliance risk framework to clients Assist clients to achieve the TCF outcomes Services to be delivered to Independent FSP's and Corporate clients.
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Employee's Quality of Work	<ul style="list-style-type: none"> Perform all functions to the standard and deadlines set by Regional Manager Provide clients with high quality, personalised and substantive reports Include clients in compliance processes and provide post monitoring feedback Maintain records as required by the business Display behaviour and attitudes which positively contributes to the well-being and development of the regional team, the company and its clients Sourcing and signing up of new clients
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Role Qualifications and Competencies

Qualifications, Work Experience and Skills	<ul style="list-style-type: none"> A Legal or Accounting degree A FSCA (FSB) recognised qualification Category I FSP experience Minimum 3 years' experience in compliance or risk management within the financial services industry A good working knowledge of compliance legislation viz FICA and FAIS Understanding and knowledge of risk management principles. Exceptional verbal and written communication skills Work under pressure, independently and as part of a team Proficient presentation skills.
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Address your CV to	Leane Greyling, Area Manager
In the Subject Line write this	Compliance Officer post in Free State
Email your CV to	vacancy@masthead.co.za
Cut-off date for this Vacancy is	22 May 2021