

COMPLIANCE OFFICER (Cape Town)

with effect from 1 October 2021

Position	Compliance Officer
Region	Cape Town
Reporting to	Regional Manager, Cape Town

Position Summary	<ul style="list-style-type: none"> To effectively deliver a quality compliance and risk management service to our clients at a regional level. Adherence to the compliance requirements as dictated by the FSCA.
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Employee's Compliance Duty	<ul style="list-style-type: none"> Outsourced compliance function delivered to financial services clients Must be approved by the FSCA for Category I Fulfill compliance function required in terms of FAIS, FICA, and other applicable legislation and business requirements Establish a culture of compliance and provide a compliance risk framework to clients Assist clients to achieve the TCF outcomes Services to be delivered to Independent FSPs and Corporate clients
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Employee's Quality of Work	<ul style="list-style-type: none"> Perform all functions to the standard and deadlines set by Regional Manager Provide clients with high quality, personalized and substantive reports Include clients in compliance processes and provide post monitoring feedback Maintain records as required by the business Display behavior and attitudes that positively contributes to the well-being and development of the regional team, the company, and its clients Sourcing and signing up of new clients
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Role Qualifications and Competencies

Qualifications, Work Experience, and Skills	<ul style="list-style-type: none"> A Legal or Accounting degree An FSCA (FSB) recognised qualification Category I FSP experience Minimum 3 years' experience in compliance or risk management within the financial services industry Good working knowledge of compliance legislation viz. FICA and FAIS Understanding and knowledge of risk management principles. Exceptional verbal and written communication skills Work under pressure, independently, and as part of a team Proficient presentation skills.
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Address your CV to	Jaco Fourie, Regional Manager
In the Subject Line write this	Compliance Officer post in Cape Town
Email your CV to	jfourie@masthead.co.za
Cut-off date for this Vacancy is	25 August 2021

Masthead is committed to transformation. Meeting our employment equity goals will be taken into account in our recruitment decisions.

Should you not hear from us within 14 days from the cut-off date, kindly consider your application as being unsuccessful.