

## COMPLIANCE OFFICER (Cape Town)

with effect from 1 July 2022

<b>Position</b>	Compliance Officer (Category I & II)
<b>Region</b>	Cape Town
<b>Reporting to</b>	Regional Manager, Cape Town

<b>Position Summary</b>	<ul style="list-style-type: none"> <li>• To effectively deliver a quality compliance and risk management service to our clients at a regional level</li> <li>• Adherence to the compliance requirements as dictated by the FSCA.</li> </ul>
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<b>Employee's Compliance Duty</b>	<ul style="list-style-type: none"> <li>• Outsourced compliance function delivered to financial services clients</li> <li>• Must be approved by the FSCA for Category I and II.</li> <li>• Fulfil compliance function required in terms of FAIS, FICA and other applicable legislation and business requirements</li> <li>• Establish a culture of compliance and provide a compliance risk framework to clients</li> <li>• Assist clients to achieve the TCF outcomes</li> </ul>
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<b>Employee's Quality of Work</b>	<ul style="list-style-type: none"> <li>• Perform all functions to the standard and deadlines set by Management</li> <li>• Provide clients with high quality, personalised and substantive reports</li> <li>• Include clients in compliance processes and provide post monitoring feedback</li> <li>• Maintain records as required by the business</li> <li>• Display behaviour and attitudes which positively contributes to the well-being and development of the regional team, the company and its clients</li> <li>• Sourcing and signing up of new clients</li> </ul>
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### Role Qualifications and Competencies

<b>Qualifications, Work Experience and Skills</b>	<ul style="list-style-type: none"> <li>• A Legal or Accounting degree</li> <li>• A FSCA recognised qualification</li> <li>• Registered Category I and II Compliance Officer with the FSCA</li> <li>• Minimum 3 years' experience in compliance or risk management within the financial services industry</li> <li>• Good working knowledge of compliance legislation viz FAIS and FICA</li> <li>• Understanding and knowledge of risk management principles.</li> <li>• Exceptional verbal and written communication skills</li> <li>• Work under pressure, independently and as part of a team</li> <li>• Proficient presentation skills.</li> </ul>
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Address your CV to	Jaco Fourie, Regional Manager, Cape Town
In the Subject Line write this	Compliance Officer (CAT I & II) position in Cape Town
Email your CV to	<a href="mailto:jfourie@masthead.co.za">jfourie@masthead.co.za</a>
Cut-off date for this Vacancy is	16 May 2022

Masthead is committed to transformation. Meeting our employment equity goals will be taken into account in our recruitment decisions.

Should you not hear from us within 14 days from the cut-off date, kindly consider your application as being unsuccessful